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Topological phase-diagram of time-periodically rippled zigzag graphene nanoribbons

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Abstract

The topological properties of electronic edge states in time-periodically driven spatially-periodic corrugated zigzag graphene nanoribbons are studied. An effective one-dimensional Hamiltonian is used to describe the electronic properties of graphene and the time-dependence is studied within the Floquet formalism. Then the quasienergy spectrum of the evolution operator is obtained using analytical and numeric calculations, both in excellent agreement. Depending on the external parameters of the time-driving, two different kinds (types I and II) of touching band points are found, which have a Dirac-like nature at both zero and $\pm \pi$ quasienergy. These touching band points are able to host topologically protected edge states for a finite size system. The topological nature of such edge states was confirmed by an explicit evaluation of the Berry phase in the neighborhood of type I touching band points and by obtaining the winding number of the effective Hamiltonian for type II touching band points. Additionally, the topological phase diagram in terms of the driving parameters of the system was built.

1. Introduction

Graphene, a truly two-dimensional (1D) material, has proven to have very interesting and fascinating properties [1, 2]. Among them, one can mention its extraordinary mechanical features, which can be used to tailor the electronic properties, leading to many novel effects in the static case [3–31]. As a matter of fact, within the tight binding approach and in the absence of interactions between electrons, the effects of a deformation field applied to graphene can be described via a pseudo magnetic field [22, 32–37]. On the other hand, graphene possesses interesting topological properties for both the time-independent [38–52] and the time-dependent cases [53–61]. For instance, in the static case, it has been proven that Dirac cones have a non-vanishing Berry phase, which means that they are robust against perturbations and disorder [62]. In addition, since Dirac cones always come in pairs, each cone has an opposite Berry phase as is companion. Hence, as a consequence of the bulk-edge correspondence, an edge state (such edge state is a flat band for the case of pristine zigzag graphene nanoribbons (ZGNs)) emerges joining two inequivalent Dirac cones (this is, two Dirac cones with opposite Berry phase).

On the other hand, by applying a time-dependent deformation field to graphene, new and novel phenomena appear when compared to the static case [61]. For instance, when a time-dependent in-plane AC electric field is applied to graphene, it is possible to undergo a topological phase transition from a topological semi-metallic phase to a trivial insulator one [63]. Similarly, gaps on the energy spectrum of graphene can be opened by irradiating graphene with a laser by changing its intensity [64, 65]. This gapped phase is also able to host robust topological chiral Floquet edge states, which are highly tunable [56]. These features are similar to the ones observed in topological insulators, which also exhibit robust edge states. However, there is another kind of topological phases akin to gapless systems [66, 67]. Take the kicked Harper model [68] and the kicked SSH model [69], for instance. In the kicked Harper model via periodic driving, one can create many touching band points (i.e. points at where the band edges touch each other following a linear dispersion) that can give rise to

highly localized edge states. This occurs because touching band points always come in pairs and each of them have opposite chirality as its companion [68]. These edge states can be flat bands or dispersive edge states. Interestingly enough, one can have the same effect on graphene nanoribbons by applying a time-dependent strain field [61]. The aim of this paper is to show some of these topological properties of gapless systems by studying a periodically driven uniaxial rippled ZGN. To do that, we use a tight-binding Hamiltonian to describe the electronic properties of the periodically driven rippled ZGN within the Floquet formalism. The quasienergy spectrum is then obtained by using an effective Hamiltonian approach.

It is important to remark that the considered deformation field is a corrugation of the graphene ribbon. Here we will restrict ourselves to the case of uniaxial ripples, i.e., the height of carbon atoms with respect to a plane is affected only along one direction (in what follows, we will consider a deformation field applied along the armchair direction). Therefore, it is necessary to take into account the relative change of the orientation between π orbitals [9]. Within such approximation, as will be seen later on, the time-dependent deformation field allows us to create touching band points (touching band points are points at where a band inversion is observed) at zero or $\pm \pi$ quasienergies. Around such points the quasienergy spectrum exhibits a linear dispersion as in the case of Dirac cones. The touching band points originated from the time-dependent deformation field can be of two different kinds: types I and II, each of them giving rise to topologically protected edge states. For the former type, we have found topologically protected flat bands at zero and $\pm \pi$ quasienergy. Such flat bands join two inequivalent touching band points with opposite Berry phase. For the latter, dispersive edge states were found and it was found that they are, at least, topologically weak by obtaining the winding of the effective Hamiltonian.

To finish, it is worthwhile to say that the experimental realization of the deformation pattern here considered can be difficult since it requires very specific hopping parameters values and very fast time scales. In fact, a similar experiment was proposed by us in a previous work [61]. However, this experiment was tailored for in-plane strain [61], and since graphene is almost incompressible, the compressive strain will induce ripples on the nanoribbon. As a result, it is clear that ripple effects are important to be studied. Also, it is possible to have a 1D periodic ripple on graphene. This is done by using thermal enginerring and by growing graphene upon a substrate to induce an anisotropic strain pattern [70]. The time-dependent deformation field can be obtained by applying a time-periodic pressure variation to the whole system [61, 71] (the graphene nanoribbon and the substrate). To observe the results presented below, the pressure needs to be in the frequency range of femto seconds, which can be very challenging in a real experiment. As an alternative, we propose the use of artificial or optical lattices, where the hopping parameters of the graphene nanoribbon lattice can be tailored at will [72–77].

The paper is organized as follows, in section 2 we introduce the model. This is, we briefly discuss how to describe the electronic properties of a rippled ZGN. Then, the time dependence is introduced to the model and the time-evolution operator of the system is defined. In section 3, we analytically obtain the quasienergy spectrum of the system via an effective Hamiltonian approach. Also, the location of both types of touching band points is found and the topological phase diagram of the system is built. The edge states of the system and their topological properties are analyzed in section 4. Some conclusion are given in section 5. Finally, in the appendices some calculations regarding the main text are presented.

2. Periodically driven rippled graphene

We start by considering a ZGN as the one portrayed in figure 1(a), then we apply an out-of-plane uniaxial deformation field (a ripple field) along the *y*-direction given by,

$$z_j = z(y_j) = \lambda \cos(2\pi\sigma y_j + \phi), \tag{1}$$

here, y_j are the positions of the carbon atoms along the *y*-direction, λ is the amplitude, σ controls the spatial wavelength, and ϕ is a phase. Since such a deformation field modifies the height of the carbon atoms, their positions are also modified and can be written as $\mathbf{r}' = (\mathbf{r}, z(y_j))$, where \mathbf{r} are the carbon atom positions in unrippled graphene. Within the low energy limit, the electronic properties of a ZGN under a deformation field along the armchair direction, as the one given by equation (1), are well described by the following 1D tight-binding effective Hamiltonian [9],

$$H(k_x) = \sum_{j=1}^{N-1} [\gamma_{2j} \ a_{2j+1}^{\dagger} b_{2j} + c(k_x) \gamma_{2j-1} a_{2j-1}^{\dagger} b_{2j}] + \text{h.c.},$$
(2)

where $c(k_x) = 2 \cos(\sqrt{3} k_x/2)$, the operator $a_j(b_j)$ annihilates an electron at the *j*th site in the sub lattice A (B), and N is the number of atoms per unit cell (see figure 1, at where the unit cell is indicated by solid red lines). γ_j are the hopping parameters given by [9],



Figure 1. Schematic representation of the driving layout. The deformation field is turned off for $t \neq mT$, where *t* is the time, *m* an integer number, and *T* is the driving period. This situation is shown in panel (a), therein a pristine zigzag graphene nanoribbon (ZGN) can be seen, which is finite along the *y*-direction but is infinite along the *x*-direction. The unit cell of which is indicated by solid red lines. Atoms belonging to the sub lattice A (B) are indicated by red (green) circles. On the other hand, for t = mT the deformation field is turned on, see panel (b). Note that the distance between carbon atoms remains the same as in pristine graphene but the height of each atom is modified along the *y*-direction, such height is given by a spatially periodic function, *z*(*y*). Finally, both the pristine and deformed ZGNs can be mapped onto a quasi one-dimensional (1D) chain. The mapping of the rippled ZGN is presented in panel (c), therein, the same color code as in (a) is used. The hopping parameters between carbon atoms are denoted by γ_j , where *j* is the label that enumerates the carbon atoms along the *y*-direction within the unit cell. $c(k_x)$ is a function of the quasi-momentum along the *x*-direction, defined in the main text.

$$\gamma_{i} = \gamma_{0} [1 + \alpha (1 - \hat{\mathbf{N}}_{i} \cdot \hat{\mathbf{N}}_{i+1})] \exp(-\beta \delta l_{i+1,i}), \qquad (3)$$

where $\gamma_0 = 2.7$ eV is the hopping parameter for pristine graphene, \hat{N}_j is the unit vector normal to the pristine graphene sheet at site *j*, which has the following form,

$$\hat{\mathbf{N}}_j = \frac{\hat{e}_z - \nabla z_j}{\sqrt{1 + (\nabla z_j)^2}},\tag{4}$$

with $\nabla = (\partial_x, \partial_y)$ being the two-dimensional (2D) gradient operator. \hat{e}_z is a unit vector that is perpendicular to the unrippled graphene sheet, $\alpha \approx 0.4$ is a constant that takes into account the change of the relative orientation between π -orbitals originated from the deformation field, and $\beta \approx 3.37$ is the decay rate (Grüneisen parameter). Finally, the quantity $\delta l_{i+1,j}$ is given by,

$$\delta l_{j+1,j} = -1 + \sqrt{1 + [z(y_{j+1}) - z(y_j)]^2}.$$
 (5)

It is important to say that all distances, here on, will be measured in units of the interatomic distance between carbon atoms (a_c) in pristine graphene. In a similar way, we will set γ_0 as the unit of energy. Having said that, it is noteworthy that the energy spectrum of the Hamiltonian equation (2) have been discussed in a previous work for the small amplitude limit and for different ripple's wavelength, see [9]. Also, it is important to say that the deformation field here considered induces a pseudo magnetic field, since such deformation field modifies the relative orientation between π orbitals. In fact, if we assume that \hat{N} is a smooth function of the position, the magnetic flux through a ripple of lateral dimension l and height z is given by [35],

$$\Phi \approx \frac{10 \text{\AA}^{-1} a_c^2 z^2}{l^3}.$$
(6)

If we introduce all the numerical values, we obtain $\Phi \approx 10^{-3} \Phi_0$, where $\Phi_0 = 2\pi \hbar/c$ and *c* is the speed of light.

Once that the Hamiltonian that describes an uniaxial rippled ZGN has been presented, we proceed to introduce the time-dependence to our model. We will consider a pulse time-driving layout,

$$H(k_x, t) = \begin{cases} H_0(k_x) & \text{if } t < \text{mod}(t, T) < t_1 \\ H_1(k_x) & \text{if } t_1 < \text{mod}(t, T) < T, \end{cases}$$
(7)

where *T* is the driving period and t_1 is a number such that $0 < t_1 < T$. The previous Hamiltonian describes a driving layout in which for times within the interval (t_1, T) , the deformation field is turned on, whereas it is turned off for times within the interval $(0, t_1)$. For the sake of simplicity, in what follows we will consider the case of short pulses, in other words, we will consider the limit $t_1 \rightarrow T$, which resembles the delta driving case. Thus, in the delta driving layout, we turn on the deformation field given by equation (1) at times t = mT, while for $t \neq mT$ the deformation field is turned off, here *m* is an integer number. A graphic representation of this driving layout is shown in figure 1. Within this limit $(t_1 \rightarrow T)$, the time-dependent Hamiltonian (7) takes the following

form,

$$H(k_x, t) = H_0(k_x) + \sum_m [H_1(k_x) - H_0(k_x)]\delta(t/T - m),$$
(8)

with the Hamiltonians $H_0(k_x)$ and $H_1(k_x)$ given by,

$$H_0(k_x) = \sum_{j=1}^{N-1} \gamma_0[a_{2j+1}^{\dagger} b_{2j} + c(k_x) a_{2j-1}^{\dagger} b_{2j}] + \text{h.c.},$$
(9)

and

$$H_{1}(k_{x}) = \sum_{j=1}^{N-1} [\gamma_{2j} \ a_{2j+1}^{\dagger} b_{2j} + c(k_{x}) \gamma_{2j-1} a_{2j-1}^{\dagger} b_{2j}] + \text{h.c.}$$
(10)

Before entering into the details of our model, let us briefly discuss the effect of considering a sinusoidal time perturbation instead of a Dirac delta protocol. The Dirac delta driving is useful because calculations are greatly simplified and because analytical results can be obtained. One can consider a more realistic time perturbation but the system must be treated numerically. Consider for example a cosine-like driving, then the quasienergies of the system are given by the eigenvalues of the so-called Floquet Hamiltonian [78], which is a block diagonal matrix (for our case, each block is $N \times N$ matrix with N being the number of atoms per unit cell). By truncating such Hamiltonian (this is, by considering only the first three blocks of such Hamiltonian), one can obtain numerically the quasienergies. By using this kind of driving as we have proven in a previous work [61] for a model quite similar to the one studied here, that the secular gaps are reduced in size when compared with the delta driving. Additionally, the flat bands become dispersive edge states [61]. Summarizing, the emergence of highly localized edge states is not modified if a more realistic driving layout is considered.

To study the time evolution of our system, we define the unitary one-period time evolution operator, $U(k_x, T)$, in the usual form,

$$U(k_x, T)|\psi_{k_x}(t)\rangle = |\psi_{k_x}(t+T)\rangle,\tag{11}$$

where $|\psi_{k_x}(t)\rangle$ is the system wave function for a given k_x . The main advantage of using a delta kicking is that the time evolution operator is easy to find. For this case, we have,

$$U(\tau) = \mathcal{T} \exp\left[-i\int_{0}^{T} H(k_{x}, t) dt/\hbar\right]$$

= exp[-i\tau(H_{1}(k_{x}) - H_{0}(k_{x}))]exp[-i\tauH_{0}(k_{x})], (12)

here T denotes the time ordering operator and $\tau = T/\hbar$. In general Hamiltonians H_1 and H_0 do not commute, therefore, it is a common practice to study the eigenvalue spectrum of the matrix representation of equation (12) via an effective Hamiltonian defined as

$$U(k_x, \tau) = \exp[-i\tau H_{\text{eff}}(k_x)].$$
(13)

Then, the eigenvalues of the time-evolution operator, which we denote by $\tau \omega$, are the eigenvalues of the effective Hamiltonian, $\tau H_{\text{eff}}(k_x)$. Since $\tau \omega$ are just defined up to integer multiples of 2π , they are called the quasienergies of the system.

Once that the time-dependence have been introduced to our model, we have four free parameters, three owing to the deformation field $(\lambda, \sigma, \text{ and } \phi)$ and one due to the driving layout (τ) . One can study the quasienergy spectrum for a wide range of parameters, however just a few set of parameters allows us to do analytical calculations. Among them, one can mention the case $\sigma = 1/3$ and $\phi = 0$ for which the system becomes periodic along both the *x*-direction and the *y*-direction. This is due to the fact that the hopping parameters, for this particular case, just take two different values, namely,

$$\gamma_j = \left(1 + \alpha - \frac{\alpha}{\sqrt{\frac{\pi^2 \lambda^2}{3} + 1}}\right) \exp[\beta(1 - \sqrt{\xi_j \lambda^2 + 1})],\tag{14}$$

where $\xi_i = 1/4$ for odd *j* and $\xi_i = 3/2$ otherwise.

It is noteworthy that for $\sigma = 1/3$, our system is quite similar to the system studied in [61], therein a periodically driven uniaxial strained ZGN is studied. The main result of such paper is the emergence of topologically protected flat bands at both zero and $\pm \pi$ quasienergies. The emergence of these flat bands can be understood in terms of a kind of Weyl points that appear each time that the bands are inverted [79]. Therefore, we expect our model to have topological flat bands and Weyl points. This conjecture is confirmed in the next section where the touching band points of the quasienergy spectrum are found.

3. Touching band points

Our system can be studied numerically for any combination of driving parameters. From an analytical point of view, only few cases are simple enough to carry on calculations. In fact, for inconnmensurate σ , the problem is very complex since quasiperiodicity arises and requires the use of rational approximants and renormalization approaches [80–83]. Here we have chosen to present simple analytical cases and compare it with the numerical results. In particular, we will study the quasienergy touching band points for $\sigma = 1/3$, $\phi = 0$ and fixed values of λ and τ . For this case, the system becomes periodic along both the *x*- and *y*-directions if cyclic boundary conditions are used in the *y* axis. Nanoribbons are thus studied by changing the boundary conditions. This allows to define the Fourier transformed version of Hamiltonians equations (9) and (10),

$$H_0(\mathbf{k}) = h_0(\mathbf{k})\hat{\mathbf{h}}_0(\mathbf{k}) \cdot \boldsymbol{\sigma}$$

$$H_1(\mathbf{k}) = h_1(\mathbf{k})\hat{\mathbf{h}}_1(\mathbf{k}) \cdot \boldsymbol{\sigma}$$
(15)

by using a vector in reciprocal space $\mathbf{k} = (k_x, k_y)$. σ_i (i = x, y, z) are the 2 × 2 Pauli matrices and $\hat{\mathbf{h}_0}(\mathbf{k}) = \mathbf{h}_0(\mathbf{k})/|h_0(\mathbf{k})|$, $\hat{\mathbf{h}_1}(\mathbf{k}) = \mathbf{h}_1(\mathbf{k})/|h_1(\mathbf{k})|$. Here, $h_0(\mathbf{k})$ and $h_1(\mathbf{k})$ denote the norm of $\mathbf{h}_0(\mathbf{k})$ and $\mathbf{h}_1(\mathbf{k})$ respectively. $\mathbf{h}_0(\mathbf{k})$ and $\mathbf{h}_1(\mathbf{k})$ have components which are defined in appendix A. The **k**-dependent time evolution operator, equation (12), now takes the following form,

$$U(\mathbf{k}, \tau) = \sum_{k_y} \mathcal{U}(\mathbf{k}, \tau) \otimes |k_y\rangle \langle k_y|, \qquad (16)$$

where,

$$\mathcal{U}(\mathbf{k},\tau) = \exp[-i\tau\delta H(\mathbf{k})]\exp[-i\tau H_0(\mathbf{k})]$$
(17)

and $\delta H(\mathbf{k}) = H_1(\mathbf{k}) - H_0(\mathbf{k})$. To obtain the quasienergy spectrum we use an effective Hamiltonian approach. Let us define the effective Hamiltonian as,

$$\mathcal{U}(\mathbf{k},\tau) = \exp[-i\tau H_{\rm eff}(\mathbf{k})]. \tag{18}$$

Since the Hamiltonians $H_0(\mathbf{k})$ and $H_1(\mathbf{k})$ are 2 × 2 matrices, it is possible to analytically obtain $H_{\text{eff}}(\mathbf{k})$ using the addition rule of SU(2) (see appendix A for details). After some calculations and using equations (15) and (17), one gets,

$$H_{\rm eff}(\mathbf{k}) = \omega(\mathbf{k})\hat{\mathbf{h}}_{\rm eff}(\mathbf{k}) \cdot \boldsymbol{\sigma},\tag{19}$$

and as before, σ is the Pauli vector. The quasienergies, $\tau \omega(\mathbf{k})$, are given by the following expression,

$$\cos[\tau\omega(\mathbf{k})] = \cos[\tau \ \delta h(\mathbf{k})] \cos[\tau h_0(\mathbf{k})] -\hat{\mathbf{h}}_0(\mathbf{k}) \cdot \hat{\delta \mathbf{h}}(\mathbf{k}) \sin[\tau \ \delta h(\mathbf{k})] \sin[\tau h_0(\mathbf{k})], \qquad (20)$$

where $\delta \mathbf{h}(\mathbf{k}) = \mathbf{h}_{l}(\mathbf{k}) - \mathbf{h}_{0}(\mathbf{k})$, and $\hat{\mathbf{h}}_{eff}(\mathbf{k})$ is given by,

$$\hat{\mathbf{h}}_{\text{eff}}(\mathbf{k}) = \frac{-1}{\sin[\tau\omega(\mathbf{k})]} [\delta \hat{\mathbf{h}}(\mathbf{k}) \sin[\tau \ \delta h(\mathbf{k})] \cos[\tau h_0(\mathbf{k})]] + \frac{-1}{\sin[\tau\omega(\mathbf{k})]} [\hat{\mathbf{h}}_0(\mathbf{k}) \sin[\tau h_0(\mathbf{k})] \cos[\tau\delta h(\mathbf{k})]] + \frac{-1}{\sin[\tau\omega(\mathbf{k})]} [\delta \hat{\mathbf{h}}(\mathbf{k}) \times \hat{\mathbf{h}}_0(\mathbf{k}) \sin[\tau\delta h(\mathbf{k})] \sin(\tau h_0[\mathbf{k})]].$$
(21)

Since we are looking for touching band points, it is useful to plot the quasienergy spectrum for some characteristic values of λ and τ . In figure 2 we plot the quasienergy band structure for $\sigma = 1/3$, $\phi = 0$, $\lambda = 0.5$, and $\tau = \pi$. Note that apart the Dirac cones (indicated by yellow dots in the figure), there are other touching band points at zero and $\pm \pi$ quasienergies.

From figure 2, we can see that touching band points always emerge at zero or $\pm \pi$ quasienergy, then it follows that they can be obtained by imposing $\tau \omega(\mathbf{k}^*) = n\pi$, where *n* is an integer number and $\mathbf{k}^* = (k_x^*, k_y^*)$ are the special points where this happens. By substituting $\mathbf{k} = \mathbf{k}^*$ in equation (20), the touching band points are given by the solutions of the following equation,

$$\pm 1 = \cos[\tau \ \delta h(\mathbf{k}^*)] \cos[\tau h_0(\mathbf{k}^*)] - \hat{\mathbf{h}}_0(\mathbf{k}^*) \cdot \hat{\delta} \mathbf{h}(\mathbf{k}^*) \sin[\tau \ \delta h(\mathbf{k}^*)] \sin[\tau h_0(\mathbf{k}^*)].$$
(22)

A careful analysis of equation (22) shows two possible solutions depending on the value of the dot product $\hat{\mathbf{h}}_0(\mathbf{k}^*) \cdot \delta \hat{\mathbf{h}}(\mathbf{k}^*)$. In other words, there are two kinds of touching band points that we have labeled by types I and II. For the type I, it is required that $\hat{\mathbf{h}}_0(\mathbf{k}^*) \cdot \delta \hat{\mathbf{h}}(\mathbf{k}^*) = \pm 1$, which is equivalent to ask the commutator $[H_1(\mathbf{k}^*), H_0(\mathbf{k}^*)]$ to vanish. For type II, it is necessary to impose two simultaneous restrictions, the first one is



Figure 2. Quasienergy band structure as function of **k** for $\sigma = 1/3$, $\phi = 0$, $\lambda = 0.5$, and $\tau = \pi$ obtained from the analytical expression equation (20). Note that besides the Dirac cones (which are shifted from their original positions due to the ripple field), indicated by yellow dots, others touching band points with linear dispersion around zero and $\pm \pi$ quasienergy emerge.

 $\hat{\mathbf{h}}_{\mathbf{0}}(\mathbf{k}^*) \cdot \delta \hat{\mathbf{h}}(\mathbf{k}^*) \neq \pm 1$, whereas the second one is given by $\cos[\tau \delta h(\mathbf{k}^*)] \cos[\tau h_0(\mathbf{k}^*)] = \pm 1$, this means that type II touching band points never occur at $k_y^* = 0$, $\pm 2\pi/3$. It what follows, we will study the necessary conditions for having these kinds of touching band points. After that, the topological phase diagram of the system is obtained.

3.1. Type I

Although this kind of touching band points have been studied in a previous work for a very particular case of hopping parameters [61], here we obtain the touching band points for the general case of an effective linear chain with two different hopping parameters, say γ_1 and γ_2 . We start our analysis by noticing from equation (A.9), that $\hat{\mathbf{h}}_0(\mathbf{k}^*) \cdot \delta \hat{\mathbf{h}}(\mathbf{k}^*) = \pm 1$ is fulfilled for $k_y^* = 0$, $\pm 2\pi/3$, needless to say that such values of k_y give the edges of the quasienergy band structure along the *y*-direction, we stress out the fact that at the edges of the quasienergy band structure, Hamiltonians $H_0(\mathbf{k})$ and $H_1(\mathbf{k})$ commute. By substituting k_y^* into equation (20), one gets,

$$\tau\omega_{\pm}(k_x) = \tau\gamma_2 \pm 2\tau\gamma_1 \cos(\sqrt{3}k_x/2), \tag{23}$$

where the plus sign (+) stems for $k_y^* = 0$, while the minus sign (-) stems for $k_y^* = \pm 2\pi/3$. Now, in order to have touching band points, two band edges must touch each other. This occurs whenever $\tau \omega(k_x^*) = \pm n\pi$ (*n* being an integer number). By using equation (23), we find that k_x^* has two possible solutions given by,

$$k_x^{*(+)} = \pm \frac{2}{\sqrt{3}} \arccos\left[\frac{n\pi - \tau\gamma_2}{2\tau\gamma_1}\right],$$

$$k_x^{*(-)} = \pm \frac{2}{\sqrt{3}} \arccos\left[\frac{-n\pi + \tau\gamma_2}{2\tau\gamma_1}\right].$$
(24)

As before, $k_x^{*(+)}$ stems for $k_y^* = 0$, while $k_x^{*(-)}$ stems for $k_y^* = \pm 2\pi/3$. From the structure of equation (24), it is easy to see that touching band points always come in pairs, as in the case of Weyl and Dirac points. We have to mention that for n = 0 and for odd n there are two pairs of touching band points, however this is not the case for even n (n different from zero) for which just one pair of touching band points emerge. This can be understood by looking at equation (24). It is readily seen that for even n both k_x^+ and k_x^- are the same. On the other hand, the case n = 0 (i.e. the time-independent touching band points) worths special attention, since in this case the touching band points correspond to Dirac cones shifted from their original position due to the deformation field [84]. As is well known, the Dirac cones give rise to flat bands in the time-independent case when the nanoribbon is considered to be finite, this is still true even in the presence of a time-dependent deformation field [61]. As will be seen later on, touching band points for $n \neq 0$ also give rise to topologically protected flat bands.

It is useful to obtain the conditions to have touching band points, since this sheds light about the topological phase diagram of the system. Such information can be readily obtained by observing that in order to have real solutions for equation (24), the following condition must be satisfied,

$$|n\pi - \tau\gamma_2| \leqslant 2\tau\gamma_1. \tag{25}$$

In other words, there is a critical treshold for τ , say τ_c for having touching band points. Such value depends upon the ripple's amplitude via γ_1 and γ_2 (see equation (14)). The explicit form of τ_c can be obtained from the extremal limits of equation (25), one can prove that is given by,

$$\tau_c = \frac{\pi}{2\gamma_1 + \gamma_2}.$$
(26)

It is important to say that each time that τ reaches an integer multiple of τ_c , new touching band points will emerge, in other words, there will be new pairs of touching band points for $\tau = n\tau_c$, where *n* is an integer

number. Also observe that bands will touch each other at $\pm \pi$ quasienergy if *n* is odd, whereas they will touch each other at zero quasienergy for even or vanishing *n*. From equation (25), we can construct the phase diagram of type I touching band points, however, this phase diagram will be incomplete since it will not contain the information of the type II touching band points. Therefore, we leave the construction of the phase diagram to be done after analyzing type II touching band points.

To finish, let us confirm our results. In figure 2 we used $\lambda = 0.5$ and $\tau = \pi$, this is, we have $2\tau_c^+ < \tau < 3\tau_c^+$. Therefore, there must be six pairs of touching band points, three pairs at zero quasienergy (two for n = 0 and one for n = 2) and two pairs at $\pm \pi$ quasienergy (n = 1). This is in completely agreement with figure 2.

3.2. Type II

Let us start by determining the location of this kind of touching band points. To do that, we set $\tau \delta h = n_1 \pi$ and $\tau h_0 = n_2 \pi$ in equation (20), where n_1 and n_2 are integer numbers. After some algebraic manipulations, one obtains,

$$\cos(\sqrt{3}k_x^*/2) = \pm \sqrt{\frac{\frac{n_1^2\pi^2}{\tau^2} - (\gamma_2 - 1)^2 + (\gamma_1 - 1)(\gamma_2 - 2)\left(1 - \frac{n_2^2\pi^2}{\tau^2}\right)}{4(\gamma_1 - 1)(\gamma_1 - \gamma_2)}},$$

$$\cos(3k_y^*/2) = \frac{n_2^2\pi^2 - 4\cos^2(\sqrt{3}k_x^*/2) - 1}{4\cos(\sqrt{3}k_x^*/2)}.$$
(27)

Once again, we can obtain the conditions for having these kind of touching band points by noticing that to ensure having real solutions in equation (27), the following conditions need to be held altogether,

$$0 \leqslant \frac{\frac{n_{1}^{2}\pi^{2}}{\tau^{2}} - (\gamma_{2} - 1)^{2} + (\gamma_{1} - 1)(\gamma_{2} - 2)\left(1 - \frac{n_{2}^{2}\pi^{2}}{\tau^{2}}\right)}{4(\gamma_{1} - 1)(\gamma_{1} - \gamma_{2})} \leqslant 1$$

$$\left|\frac{n_{2}^{2}\pi^{2} - 4\cos^{2}(\sqrt{3}k_{x}^{*}/2) - 1}{4\cos(\sqrt{3}k_{x}^{*}/2)}\right| \leqslant 1.$$
(28)

It is worthwhile to mention that the band edges will touch each other at $\pm \pi$ quasienergy if n_1 is even and n_2 is odd or vice versa, whereas they will touch each other at zero quasienergy for either n_1 and n_2 even or odd.

The conditions given by equation (28) add new phases to the phase diagram of the system. Such diagram will be built in the next section.

3.3. Topological phase diagram

In figure 3, the phase diagram for types I and II touching band points is presented, such diagram was built from the expressions for the critical values of τ obtained from equation (26) and (28). Therein, in figure 3, type I touching band points are labeled by n and each single value of n gives rise to two pairs of this kind of touching band points. For instance, the region label by n = 0, 1 has four pairs of touching band points, two pairs corresponding to n = 0 at zero quasienergy (Dirac cones, as was discussed above) and the others two pairs at $\pm \pi$ quasienergy corresponding to n = 1. Note also that each value of n corresponds to a well defined region in the phase diagram. When it concerns to type II touching band points things become more complicated since each pair of integers (n_1 , n_2) results in very intricate regions on the phase diagram, as is clearly seen in figure 3 in the regions labeled by II. Additionally, for having type II touching band points high values of the ripple's amplitude are required, which makes them difficult to be observed experimentally since non-linear effects may appear before reaching this regimen. Finally, note that the fact that both kinds of touching band points always come in pairs suggests that they can give rise to topologically protected edge modes if the system is considered to be finite. In fact, this is the case as is proven below.

4. Edge states

In this section we discuss the emergence and the topological properties of edge states in a finite ZGN. In the previous section we found touching band points at which the edges of the quasienergy spectrum cross each other, which is a signature for edge states. In order to confirm if edge states emerge, we calculate the quasienergy spectrum for a finite system. To do that, a numerical diagonalization of the matrix representation of the time evolution operator equation (12), as a function of k_x , is done for fixed σ , ϕ , λ and τ . We also study the localization properties of the wave functions of such states. Using the logarithm of the inverse participation ratio (IPR), which is defined as,





$$IPR(E) = \frac{\sum_{j=1}^{N} |\psi(j)|^4}{\ln N},$$
(29)

where $\psi(j)$ is the wave function at site *j* for a given energy (or quasienergy) *E*. The IPR is a measure of the wave function localization [4]. The closer the IPR to zero the more localized the wave function is. Whereas for the IPR tending to -1, we have completely delocalized wave functions. Having said that, we proceed with the study of the edge states.

4.1. Type I

Let us consider first the case of type I touching band points. We start by obtaining the quasienergy band structure as a function of k_x via the numerical diagonalization of the matrix representation of equation (12). In figure 4 we show the resulting quasienergy band structure for $\sigma = 1/3$, $\phi = 0$, $\lambda = 0.5$, $\tau = \pi$, N = 164 atoms and obtained by using fixed boundary conditions. We used the same condition as in the analytically obtained plot in figure 2(b). Note the excellent agreement between the numerical and the analytical results.

In figure 4(a) we also show the winding number of the effective Hamiltonian, which is basically the winding number of the unit vector defined in equation (21) for $k_x = 0.9\pi/\sqrt{3}$, for a phase with flat bands joining two inequivalent Dirac cones. As can be seen, the winding number is one, as expected from the topological properties of a finite ZGN.

The main difference between figures 4 and 2 (apart from the fact that figure 2 is a three-dimensional plot and figure 4 is the projected band structure as a function of k_x) is that, for a finite nanoribbon, highly localized edge modes are clearly seen in figure 4. In addition, we can see more touching band points in figure 2 than in figure 4 since the former is a three-dimensional plot in perspective (we have plotted the front view of the band structure), whereas the latter is a projection of the full band structure. For example, instead of seeing four Dirac cones in figure 4, as happens in figure 2, we just see two Dirac cones because the projection superposes each pair, as happens with other touching band points. The colors used in figure 4 represent the logarithm of the IPR (as defined in equation (29)), blue colors correspond to totally delocalized states and red color represents highly localized wave functions. Also observe how flat bands join two inequivalent touching band points, which suggests that inequivalent touching band points at the same quasienergy have opposite Berry phase. In fact, this is the case for n = 0, which corresponds to Dirac cones, labeled by gray dots in figure 4. This also happens for $n \neq 0$. Before studying the Berry phase of the touching band points and for the sake of clarity, in figure 5 we present the analytical and the numerical band structure of our system for $\sigma = 1/3$, $\phi = 0$, $\tau = 6$, and $\lambda = 0.6$.



Figure 4. In panel (a) we present the winding of the vector $\hat{\mathbf{h}}_{\text{eff}}(\mathbf{k})$, obtained from the analytical expression equation (21) using $k_x = 0.9\pi/\sqrt{3}$. The winding number of edge states that arise from the Dirac cones is one. Panel (b), quasienergy band structure obtained from the numerical diagonalization of equation (12) as a function of k_x for $\sigma = 1/3$, $\phi = 0$, $\lambda = 0.5$, $\tau = \pi$, and for a nanoribbon with N = 164 atoms, also fixed boundary conditions were used. Note the excellent agreement between this plot and its analytical counterpart figure 2. In addition, observe the presence of flat bands at zero and $\pm \pi$ quasienergies, as predicted in the phase diagram figure 3 for type I touching band points with n = 0, 1, 2. For n = 0 we have Dirac cones (indicated by gray dots) shifted from their original positions due to the deformation field. The colors in the plot represent the logarithm of the inverse participation ratio, blue color corresponds to totally delocalized states, while red color stems for completely localized states.



 $\lambda = 0.6$, and $\tau = 6$. The parameters were chosen to be on a phase where only type I touching band points are observed. The maximum value of *n* for these parameters is 4 (see equation (28)). In panel (b), we present the band structure of the system obtained from the numerical diagonalization of equation (12) for the same parameters used in panel (a) but using fixed boundary conditions. The same color code as in figure 4 was used. Note the emergence of flat bands that are less localized when compared with the ones observed in figure 4. The agreement between the numerical and analytical results is excellent.

These parameters were chosen in such a way that only type I touching band points appear. In panel figure 5(a) we can observe many touching band points at zero and $\pm \pi$ quasienergies. Each pair produces flat bands as seen in panel (b) of the same figure. It is important to note that the flat bands become more extended as the driving period is increased.

To confirm the previous conjecture about the topological nature of the touching band points, we explicitly evaluate the Berry phase for type I touching band points. We start by noticing that near the touching band points the quasienergy spectrum is well described by the one-period time evolution operator, equation (17), expanded



Figure 6. Panel (a). Winding of the vector $\hat{\mathbf{h}}_{eff}(\mathbf{k})$ obtained from the analytical expression equation (21) for $k_x = 0.9\pi/\sqrt{3}$, $\sigma = 1/3$, $\phi = 0$, $\tau = 5.46$, and $\lambda = 1$. By fixing k_x , we are studying a 1D slice of the system. The topological properties of this 1D slice are given by the winding of the unit vector $\hat{\mathbf{h}}_{eff}(\mathbf{k})$. A non-zero winding number is a signature of non-trivial topological properties. Note that the winding number for this particular case is 6. Panel (b). Quasienergy band structure obtained from the numerical diagonalization of equation (12) as a function of k_x for $\sigma = 1/3$, $\phi = 0$, $\tau = 5.46$, $\lambda = 1$, and N = 164 using fixed boundary conditions. The same color code as in figure 4 was used. Observe that for type II touching band points flat bands are less localized when compared with type I.

up to second order in powers of τ . By using the Baker–Campbell–Hausdorff formula in equation (17), one gets,

$$\mathcal{U}(\mathbf{k},\tau) \approx \exp\{-i\tau H_1(\mathbf{k}) + \tau^2 [H_1(\mathbf{k}), H_0(\mathbf{k})]/2\}.$$
(30)

Since we are just interested in what happens in the neighborhood of touching band points, we expand equation (30) around (k_x^*, k_y^*) . It is straightforward to show that equation (30) can be written as

$$\mathcal{U}(q_x, q_y, \tau) \approx \exp[-\mathrm{i}h_T \,\hat{\mathbf{h}}_T \cdot \boldsymbol{\sigma}],\tag{31}$$

where $q_x = k_x - k_x^*$, $q_y = k_y - k_y^*$, $h_T = |\mathbf{h}_T|$, and the vector \mathbf{h}_T is given by,

$$q_T = A(\lambda, \tau)q_x\hat{e}_x + B(\lambda, \tau)q_y\hat{e}_y + C(\lambda, \tau)q_y\hat{e}_z,$$
(32)

with

$$A(\gamma_{1}, \gamma_{2}, \tau) = n\pi + \tau \gamma_{1} \sqrt{3 + 3 \left(\frac{n\pi - \tau \gamma_{2}}{2\tau \gamma_{1}}\right)^{2}},$$

$$B(\gamma_{1}, \gamma_{2}, \tau) = 3\tau \gamma_{2}/2,$$

$$C(\gamma_{1}, \gamma_{2}, \tau) = \frac{3\tau}{4\gamma_{1}} (\gamma_{1} - \gamma_{2})(n\pi - \tau \gamma_{2}).$$
(33)

Finally, the Berry phase can be readily obtained from the effective Hamiltonian $h_T \hat{\mathbf{h}}_T \cdot \boldsymbol{\sigma}$. As we prove in appendix B, the Berry phase, γ_C , is non-vanishing for touching band points at k_x^+ , in fact, its value is $\gamma_C = \pi$. For touching band points at k_x^- the Berry phase takes the opposite value as for k_x^+ , this is, we have $\gamma_C = -\pi$. Therefore flat bands joining two touching band points with opposite Berry phase will emerge. Needless to say that these touching band points are topologically protected, so flat bands are topologically non-trivial.

4.2. Type II

Now we analyze the edge states originated from type II touching band points. First, we obtain the quasienergy band structure from the numerical diagonalization of equation (12) for a set of parameters within one of the regions II of the diagram phase figure 3. In figure 6, we show such band structure for $\sigma = 1/3$, $\phi = 0$, $\lambda = 1$, $\tau = 5.46$, and N = 164, and obtained using fixed boundary conditions. Observe that in figure 4(b) besides the type I touching band points there is one pair of type II touching band points. As in the case of type I touching band points, edge states emerge from type II touching band points, these edge states seem to be also flat bands. However, as edge states approach $k_x = 0$, they are no longer flat bands but they become dispersive delocalized states, see the inset in figure 6(b), where a zoom around $\pm \pi$ quasienergy is shown. To get further insight about





the edge states that emerge from type II touching band points we plotted, in figure 7, the analytical and numerical quasienergy band structure for $\sigma = 1/3$, $\phi = 0$, $\lambda = 0.9$, and $\tau = 7.5$. Observe that the agreement between the numerical (panel b)) and analytic (panel a)) results is quite good. As before, the edge states that appear in panel (b) are dispersive and join two inequivalent touching band points. In addition, the edge states in figure 7 are less localized that the ones in figure 5.

The fact that these edge states start and end at type II touching band points suggest that they have non-trivial topological properties. To study the topological properties of this kind of edge states we cannot proceed as we did with type I touching band points since type II touching band points do not correspond to points at where Hamiltonians (15) commute. Therefore, we analyze the topological properties of a 1D slice of the system, in other words, we study our system for a fixed k_x . Once that we have fixed k_x , the topological properties can be obtained from the winding of the unit vector $\hat{\mathbf{h}}_{\text{eff}}$ that appears in the effective Hamiltonian equation (19), since a non-vanishing winding number is a signature of non-trivial topological properties. If $\hat{\mathbf{h}}_{\text{eff}}$, for fixed k_x , has a non-vanishing winding number around the origin, then the 1D slice has non-trivial topological properties and the whole 2D system is topologically weak [85–87]. In figure 6(a) we show the winding of the unit vector $\hat{\mathbf{h}}_{\text{eff}}$ as a function of k_y obtained from the analytical expression equation (21) for $k_x = 0.9/\sqrt{3}$, $\sigma = 1/3$, $\phi = 0$, $\tau = 5.46$, and $\lambda = 1$. As clearly seen in the figure, the winding number is 6, which means that our 1D slice has non-trivial topological properties and that the whole 2D system is topological properties and that the whole 2D system is topological properties are specified.

5. Conclusions

We have studied the case of a time-periodically driven rippled ZGN. We obtained the quasienergy spectrum of the time-evolution operator. As a result, two types of touching band points were found for a special value of the corrugation wavelength ($\sigma = 1/3$). Each type produces different edge states. For type I edge states, we found that the edge states are flat bands joining two inequivalent touching band points with opposite Berry phase, this was confirmed by the analytical evaluation of the Berry phase. On the other hand, type II edge states were found to have a topological weak nature. This was done by a numerical calculation of the winding number of a 1D slice of the system, in other words, by looking at the topological properties of our system for a fixed k_x . Using this previous information, the phase diagram of the system was built. To finish, we stress out that the experimental realization of our model can be very challenging, however, there are some proposed experiments for similar situations [61, 88, 89]. Experimentally is possible to create a 1D uniaxial ripple of graphene by growing it over a

substrate [70]. Then the driving can be achieved by time-periodically applying pressure to the whole system (i.e. to the graphene ribbon and substrate). Time scales of femto seconds are needed to observe the phenomena discussed above, a fact that requires the use of, for example, femto lasers of Ti-Sapphire to induce deformations. As an alternative, optical lattices can be used since the hopping parameters can be tailored at will [88, 89].

Finally, it is important to remark that for observing the edge states studied here, the time driving layout does not need to be a delta driving. Even a cosine-like time perturbation can be used. However, for the case of a cosine-like time-perturbation, the effect could be hard to be observed since the secular gaps are usually smaller [61].

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Appendix A

In this appendix we analytically obtain the quasienergy spectrum for $\sigma = 1/3$, $\phi = 0$. As was mentioned in the main text, for $\sigma = 1/3$, the system becomes periodic along both the *x* and *y* directions. As a result, we can Fourier transform the Hamiltonians (9) and (10) taking advantage of such periodicity. By using the following Fourier transformations,

$$a_{j} = \frac{1}{\sqrt{N/2}} \sum_{k_{y}} e^{-i3k_{y}j/2} a_{k_{y}}$$

$$b_{j} = \frac{1}{\sqrt{N/2}} \sum_{k_{y}} e^{-i3k_{y}j/2} b_{k_{y}},$$
 (A.1)

and after some algebraic manipulations, one gets the simplified Fourier transformed version of Hamiltonians equations (9) and (10),

$$H_0(\mathbf{k}) = h_0(\mathbf{k})\mathbf{\hat{h}}_0(\mathbf{k}) \cdot \boldsymbol{\sigma}$$

$$H_1(\mathbf{k}) = h_1(\mathbf{k})\mathbf{\hat{h}}_1(\mathbf{k}) \cdot \boldsymbol{\sigma},$$
(A.2)

where $\mathbf{k} = (k_x, k_y)$, $\sigma_i (i = x, y, z)$ are the 2 × 2 Pauli matrices, $\hat{\mathbf{h}_0}(\mathbf{k}) = \mathbf{h}_0(\mathbf{k})/|h_0(\mathbf{k})|$, $\hat{\mathbf{h}_1}(\mathbf{k}) = \mathbf{h}_1/|h_1(\mathbf{k})|$ [$h_0(\mathbf{k}) (h_1(\mathbf{k}))$ being the norm of $\mathbf{h}_0(\mathbf{k}) (\mathbf{h}_1(\mathbf{k}))$]. $\mathbf{h}_0(\mathbf{k})$ and $\mathbf{h}_1(\mathbf{k})$ have components given by

$$h_0^{(x)}(\mathbf{k}) = 2\cos(\sqrt{3}k_x/2) + \cos(3k_y/2),$$

$$h_0^{(y)}(\mathbf{k}) = \sin(3k_y/2),$$

$$h_1^{(x)}(\mathbf{k}) = 2\gamma_1\cos(\sqrt{3}k_x/2) + \gamma_2\cos(3k_y/2),$$

$$h_1^{(y)}(\mathbf{k}) = \gamma_2\sin(3k_y/2).$$
(A.3)

 γ_1 and γ_2 have been defined in equation (14). By using equation (A.2), the time evolution operator equation (12) can be written as

$$U(\mathbf{k}, \tau) = \sum_{k_y} \mathcal{U}(\mathbf{k}, \tau) \otimes |k_y\rangle \langle k_y|.$$
(A.4)

Here $\delta H(\mathbf{k}) = H_1(\mathbf{k}) - H_0(\mathbf{k})$, and

$$\mathcal{U}(\mathbf{k}, \tau) = \exp[-i\tau\delta H(\mathbf{k})]\exp[-i\tau H_0(\mathbf{k})]. \tag{A.5}$$

Even though, H_1 and H_0 generally do not commute, one can rewrite equation (A.5) as follows,

$$\mathcal{U}(\mathbf{k},\tau) = \exp[-i\tau H_{\rm eff}(\mathbf{k})],\tag{A.6}$$

where the effective Hamiltonian is given by

$$H_{\rm eff}(\mathbf{k}) = \omega(\mathbf{k}) \mathbf{\hat{h}}_{\rm eff}(\mathbf{k}) \cdot \boldsymbol{\sigma}, \tag{A.7}$$

the quasienergies $\tau \omega({\bf k})$ are given by the next relation,

$$\cos[\tau\omega(\mathbf{k})] = \cos[\tau \ \delta h(\mathbf{k})] \cos[\tau h_0(\mathbf{k})] - \hat{\mathbf{h}}_0(\mathbf{k}) \cdot \hat{\delta} \hat{\mathbf{h}}(\mathbf{k}) \sin[\tau \ \delta h(\mathbf{k})] \sin[\tau h_0(\mathbf{k})], \qquad (A.8)$$

where $\delta \mathbf{h}(\mathbf{k}) = \mathbf{h}_1(\mathbf{k}) - \mathbf{h}_0(\mathbf{k})$, and

$$\hat{\mathbf{h}}_{\mathbf{0}}(\mathbf{k}) \cdot \hat{\delta \mathbf{h}}(\mathbf{k}) = \frac{1}{h_0(\mathbf{k}) \delta h(\mathbf{k})} \left[4(\gamma_1 - 1) \cos^2 \left(\frac{\sqrt{3}}{2} k_x\right) \right] \\ + \frac{1}{h_0(\mathbf{k}) \delta h(\mathbf{k})} \left[2(\gamma_1 + \gamma_2 - 2) \cos \left(\frac{\sqrt{3}}{2} k_x\right) \cos \left(\frac{3k_y}{2}\right) \right] \\ + \frac{\gamma_2 - 1}{h_0(\mathbf{k}) \delta h(\mathbf{k})}.$$
(A.9)

Finally, the unit vector $\hat{\mathbf{h}}_{\text{eff}}(\mathbf{k})$ is given by

$$\hat{\mathbf{h}}_{\text{eff}}(\mathbf{k}) = \frac{-1}{\sin[\tau\omega(\mathbf{k})]} [\delta \hat{\mathbf{h}}(\mathbf{k}) \sin[\tau \ \delta h(\mathbf{k})] \cos[\tau h_0(\mathbf{k})]] + \frac{-1}{\sin[\tau\omega(\mathbf{k})]} [\hat{\mathbf{h}}_0(\mathbf{k}) \sin[\tau h_0(\mathbf{k})] \cos[\tau \delta h(\mathbf{k})]] + \frac{-1}{\sin[\tau\omega(\mathbf{k})]} [\delta \hat{\mathbf{h}}(\mathbf{k}) \times \hat{\mathbf{h}}_0(\mathbf{k}) \sin[\tau \delta h(\mathbf{k})] \sin(\tau h_0[\mathbf{k})]].$$
(A.10)

Appendix B

In this appendix, the explicit evaluation of the Berry phase for type I touching band points is done. The Berry phase is defined as

$$\gamma_C = \oint_C \mathbf{A} \cdot d\mathbf{k}, \tag{B.1}$$

where $\mathbf{A} = -i\langle \psi_k | \nabla_k | \psi_k \rangle$ is the so-called Berry connection (a gauge invariant quantity), and $\nabla_k = (\partial_{k_x}, \partial_{k_y})$ is the gradient operator in the momentum space. Since we are interested in what happens in the neighborhood of type touching band points, it is enough to calculate the Berry phase of $\hat{\mathbf{h}}_T \cdot \boldsymbol{\sigma}$, which is the effective Hamiltonian in the neighborhood of type I touching band points and that is defined in equation (32).

To obtain the Berry phase, we first need to calculate the eigenvectors of Hamiltonian equation (32), it can be proven that such eigenvectors are given by the following spinors,

$$\begin{split} |\psi_{q'}^{\uparrow}\rangle &= \frac{1}{\sqrt{2}} \begin{pmatrix} \sqrt{1 + \frac{C \, q'_y}{B \, h_T}} \\ e^{i\xi\alpha_{q'}}\sqrt{1 - \frac{C \, q'_y}{B \, h_T}} \end{pmatrix} \\ |\psi_{q'}^{\downarrow}\rangle &= -\frac{1}{\sqrt{2}} \begin{pmatrix} e^{-i\xi\alpha_{q'}}\sqrt{1 - \frac{C \, q'_y}{B \, h_T}} \\ -\sqrt{1 + \frac{C \, q'_y}{B \, h_T}} \end{pmatrix}, \end{split}$$
(B.2)

where

$$q'_x = q_x / A$$

$$q'_y = q_y / B$$
(B.3)

and $\alpha_{q'}$ is given by,

$$\alpha_{q'} = \tan^{-1} \left(\frac{q'_y}{q'_x} \right). \tag{B.4}$$

 ξ can take the values $\xi = +1$ which corresponds to $+k_x^{*(+)}$ and $\xi = -1$ to $-k_x^{*(+)}$. Now, the Berry connection can be calculated using such spinors, for simplicity we set $\xi = 1$, however the result does not depend upon ξ . After some calculations, one obtains that the Berry connection is,

$$\mathbf{A} = \frac{1}{2} \left(1 - \frac{C}{B h_T} q'_y \right) \nabla_{q'} \alpha_{q'}, \tag{B.5}$$

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where

$$\nabla_{q'}\alpha_{q'} = \frac{-q'_y \,\hat{e}_x + q'_x \,\hat{e}_y}{(q'_x)^2 + (q'_y)^2}.$$
(B.6)

Finally, we calculate the Berry phase along a circumference centered at $q'_x = q'_y = 0$. By using polar coordinates, defined as, $q'_x = q' \cos \theta$ and $q'_y = q' \sin \theta$ where $(q')^2 = (q'_x)^2 + (q'_y)^2$, the Berry connection is readily obtained,

$$\gamma_C = \int_0^{2\pi} \mathbf{A} \cdot d\mathbf{q}'$$
$$= \frac{1}{2} \int_0^{2\pi} \left(1 - \frac{\frac{C}{B} \sin\theta}{\sqrt{1 + \frac{C^2}{B^2} \sin^2 \theta}} \right) d\theta = \pi.$$
(B.7)

A similar calculation can be done for $k_x^{*(-)}$, which gives $\gamma_C = -\pi$.

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